

THE HONORABLE JOHN C. COUGHENOUR

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

LAKSHA BOHRA, VIKY BOHRA, and
GOTHAM BOHRA,

Defendants.

CASE NO. C20-1434-JCC

FINAL JUDGMENT AS TO
DEFENDANT GOTHAM BOHRA

The Securities and Exchange Commission having filed a Complaint and Defendant Gotham Bohra (“Defendant”) having entered a general appearance; consented to the Court’s jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment without admitting or denying the allegations of the Complaint (except as to jurisdiction and except as otherwise provided herein in paragraph IV); waived findings of fact and conclusions of law; and waived any right to appeal from this Final Judgment (Dkt. No. 3):

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange Act”) [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of

1 interstate commerce, or of the mails, or of any facility of any national securities exchange, in
2 connection with the purchase or sale of any security:

- 3 (a) to employ any device, scheme, or artifice to defraud;
- 4 (b) to make any untrue statement of a material fact or to omit to state a material fact
5 necessary in order to make the statements made, in the light of the circumstances
6 under which they were made, not misleading; or
- 7 (c) to engage in any act, practice, or course of business which operates or would
8 operate as a fraud or deceit upon any person.

9 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in
10 Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following who
11 receive actual notice of this Final Judgment by personal service or otherwise: (a) Defendant's
12 officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or
13 participation with Defendant or with anyone described in (a).

14 II.

15 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant
16 is liable for disgorgement of \$784,704.02, representing profits gained as a result of the conduct
17 alleged in the Complaint, together with prejudgment interest thereon in the amount of
18 \$65,061.38, and a civil penalty in the amount of \$784,704.02 pursuant to Section 21A of the
19 Exchange Act. Defendant shall satisfy this obligation by paying \$1,634,469.42 to the Securities
20 and Exchange Commission pursuant to the terms of the payment schedule set forth in paragraph
21 III below after entry of the Final Judgment.

22 Defendant may transmit payment electronically to the Commission, which will provide
23 detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly
24 from a bank account via Pay.gov through the SEC website at
25 <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check, bank
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cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

Enterprise Services Center
Accounts Receivable Branch
6500 South MacArthur Boulevard
Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Gotham Bohra as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

Defendant shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action. By making this payment, Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury.

The Commission may enforce the Court's judgment for disgorgement and prejudgment interest by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 30 days following entry of this Final Judgment. Defendant shall pay post judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

III.

Gotham Bohra shall pay the total of disgorgement, prejudgment interest, and penalty due of \$1,634,469.42 in 3 installments to the Commission according to the following schedule: (1) \$544,823.14 within 30 days of entry of this Final Judgment; (2) \$544,823.14 within 60 days of entry of this Final Judgment; and (3) \$544,823.14 within 90 days of entry of this Final Judgment. Payments shall be deemed made on the date they are received by the Commission and shall be applied first to post judgment interest, which accrues pursuant to 28 U.S.C. § 1961 on any unpaid amounts due after 30 days of the entry of Final Judgment. Prior to making the final payment set

1 forth herein, Gotham Bohra shall contact the staff of the Commission for the amount due for the
2 final payment.

3 If Gotham Bohra fails to make any payment by the date agreed and/or in the amount
4 agreed according to the schedule set forth above, all outstanding payments under this Final
5 Judgment, including post judgment interest, minus any payments made, shall become due and
6 payable immediately at the discretion of the staff of the Commission without further application
7 to the Court.

8 IV.

9 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is
10 incorporated herein with the same force and effect as if fully set forth herein, and that Defendant
11 shall comply with all of the undertakings and agreements set forth therein.

12 V.

13 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of
14 exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, the
15 allegations in the complaint are true and admitted by Defendant, and further, any debt for
16 disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this
17 Final Judgment or any other judgment, order, consent order, decree or settlement agreement
18 entered in connection with this proceeding, is a debt for the violation by Defendant of the federal
19 securities laws or any regulation or order issued under such laws, as set forth in Section
20 523(a)(19) of the Bankruptcy Code, 11 U.S.C. § 523(a)(19).

21 VI.

22 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain
23 jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

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1 DATED this 16th day of October 2020.

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5 John C. Coughenour
6 UNITED STATES DISTRICT JUDGE
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